



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/035,763	10/21/2001	L. Jeffrey Kapner III	K35A0882

12/21/2001

WON TAE C. KIM, ESQ.
WESTERN DIGITAL TECHNOLOGIES, INC.
20511 LAKE FOREST DRIVE
IPD C-2
LAKE FOREST, CA 92630

CONFIRMATION NO. 3826
FORMALITIES LETTER



OC000000007427312

Date Mailed: 02/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Jenny Lemelser

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/01/2002 BMGUYEN1 00000018 231209 10035763

01 FC:105 130.00 CH



MAR 2 8 2002

MAR 28 2002
UNITED STATES
PATENT AND TRADEMARK OFFICE

~~UNITED STATES~~ PATENT AND TRADEMARK OFFICE

Page 1 of 2

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/035,763 ✓	10/21/2001 ✓	2611 ✓	758 ✓	K35A0882 ✓	5 ✓	21 ✓	3 ✓

12/21/2001

WON TAE C. KIM, ESQ.
WESTERN DIGITAL TECHNOLOGIES, INC.
20511 LAKE FOREST DRIVE
IPD C-2
LAKE FOREST, CA 92630

CONFIRMATION NO. 3826

FILING RECEIPT



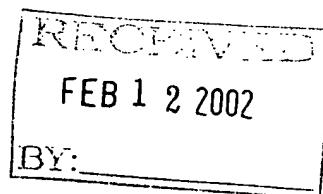
OC000000007427311

Date Mailed: 02/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

L. Jeffrey Kapner III, Yorba Linda, CA;
Timothy J. Elliott, Fountain Valley, CA;
Peter J. Schwartz, Fullerton, CA;



Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/05/2002

Projected Publication Date: Request for Non-Publication Acknowledged ✓

Non-Publication Request: Yes ✓

Early Publication Request: No ✓

Title

System and method for selecting a pay per view program to be transmitted to a program receiver

Preliminary Class

725



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

	Application Number	10/035,763	
	Filing Date	12-21-2001	
	First Named Inventor	L. Jeffrey Kapner III	
	Group Art Unit	2611	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	14	Attorney Docket Number	K35A0882

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration for Utility Power of Attorney Request for Correction of Filing Receipt Copy of Filing Receipt Acknowledgment Post Card
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	WESTERN DIGITAL TECHNOLOGIES, INC. - Won Tae C. Kim, 40,457
Signature	
Date	3/18/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Adriana Varela
Signature	
Date	3/18/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\\$) 170.00
-------------------------	--------------

Complete if Known

Application Number	10/035,763
Filing Date	12-21-2001
First Named Inventor	L. JEFFREY KAPNER III
Examiner Name	UNKNOWN
Group Art Unit	2611
Attorney Docket No.	K35A0882

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **23-1209**
Deposit Account Name **WESTERN DIGITAL**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\\$)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	x 18.00 =	
			- 3** =	x 84.00 =	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissues independent claims over original patent
110 18	210 9	** Reissues claims in excess of 20 and over original patent

SUBTOTAL (2) (\\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			
SUBTOTAL (3) (\\$) 170.00			

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Won Tae C. Kim, Esq.	Registration No. (Attorney/Agent)	40,457	Telephone	949-672-7813
Signature	<i>W. Choi</i>		Date	3/18/02	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Receipt
PATENT
Docket No. K35A0882

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kapner III et al.

Group No. 2611

Application No.: 10/035,763

Examiner: Unknown

Filed: 12/21/2001

For: SYSTEM AND METHOD FOR SELECTING A PAY PER VIEW PROGRAM TO BE
TRANSMITTED TO A PROGRAM RECEIVER

Office of Initial Patent Examination's Customer Service Center
Assistant Secretary and Commissioner of Patent and Trademark
Washington, D.C. 20231

Sir/Madam:

REQUEST FOR CORRECTION OF FILING RECEIPT

Applicant hereby requests that a correction be made to the Filing Date section of the Filing Receipt for the above referenced patent application. The typographical error has a 10 instead of 12 as the filing month, as shown on the attached copy of the original filing receipt with the changes noted. The Filing Date should read, as follows:

12/21/2001

The Examiner is respectfully asked to make the correction in the above-referenced patent application.

Respectfully submitted,

3/18/02

By: Won Tae C. Kim
Attorney for Applicants
Registration No. 40,457

Date

WESTERN DIGITAL TECHNOLOGIES, INC.
Intellectual Property Dept, C-2
20511 Lake Forest Drive
Lake Forest, California 92630
Telephone: (949) 672-7814

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in
an envelope addressed to: Assistant Secretary and
Commissioner for Patents, Washington D.C. 20231
on _____.

Adriana Varela